S P Mandali's R. A. Podar College of Commerce AndEconomics (Autonomous), Matunga, Mumbai-400019

Course Structure

Bachelor of Commerce(Financial Markets) Semester III & IV

HYPERLINK "http://www.rapodar.ac.in" www.rapodar.ac.in

S P Mandali's R. A. Podar College of Commerce AndEconomics (Autonomous), Matunga, Mumbai-400019

> SyllabusAnd Question paper pattern of Course

Bachelor of Commerce(Financial Markets)

S.Y. B.F.M. Semester III & IV Syllabus as per National Education Policy 2020 To be implemented from Academic Year 2024-2025

HYPERLINK "http://www.rapodar.ac.in" www.rapodar.ac.in

Bachelor of Commerce (Financial Markets) Programme

Syllabus as per National Education Policy 2020

Course Structure

S.Y.B.F.M. (Level 4.5)

(To be implemented from Academic Year- 2024-25)

No. of Courses	Semester III	Credits	No. Of Courses	Semester IV	Credits
1	Major (09 credits)		1	Major (09 credit	ts)
1. A	Mandatory (Financial Markets)		1. A	Mandatory (Financial Markets)	
1.A.a	Financial Markets –V Equity Markets -I	03	1.A.a	Financial Markets VIII Equity Markets -II	03
1.A.b	Financial Markets –VI Debt Markets -I	03	1.A.b	Financial Markets IX Debt Markets -II	03
1.A.c	Financial Markets -VII Commodity Markets- I	03	1.A.c	Financial Markets -X Commodity Markets- II	03
2 2.A.a	Minor (03 credits General Commercial Law	s) 03	2 2.A.a	Minor (03 credits)Banking Law & Practice03	
2.A.a	General Elective (GE)		2.A.a	Banking Law & Practice General Elective (GE)/ Op	
3	Elective (OE) (03 Cro	-	3	(OE) (03 Credit	
3.A.a	Digitalization of Money Markets / Blockchain Technology / Data Visualization using Power BI	03	3.A.a	Digitalization of Capital Markets / Family Business Enterprise / Robotic Process Automation	03
4	Vocational & Skill Enhancement CoursesVocational & Skill Enhancement Courses(VSEC) (02 credits)4				
4. A	Skill Enhancement Cours	ses (SEC)	4. A	Skill Enhancement Courses (SEC)	
4.A.a	-		4.A.a	-	
4. B	Vocational Skill Course	e (VSC)	4. B	3 Vocational Skill Course (VSC)	
4.B.a	Financial Planning – I Equity Trading and Investing Skills	03	4.B.a	Financial Planning – II Mutual Funds 03	
5	Ability Enhancement Course, Value Enhancement Course 5 (04 credits)		5	Ability Enhancement Con Enhancement Con (04 credits)	
5.A	Ability Enhancement Course(AEC)		5.A	Ability Enhancement Co	ırse (AEC)
5.A.a	Marathi/Hindi/Sanskrit	02	5.A.a	Marathi/Hindi/Sanskrit	02
5.B	Value Enhancement Cour	se (VEC)	5.B	Value Enhancement Course (VEC)	
5.B.a	-		5.B.a	-	
5.C	Field Project/Apprenticeship/Community Engagement & Services		5.C	Field Project/Apprenticeship/C Engagement & Ser	
5.C.a	Project Work	02	5.C.a	Project Work based on Risk Management and Financial Services	02
TOTAL	CUMULATIVE CREDITS	22	TOTAL	CUMULATIVE CREDITS	22

Syllabus of courses of SYBFM Programme (With effect from the Academic Year 2024-2025) 1. Major (1.A Financial Markets V)

1.A.a. Equity Markets -I (3 Credits)Semester III

	1.Major	
	1.A Financial Markets V	
1.A.a Equity Markets -I		
	Course Objectives and Course Outcomes	
	Course Objectives	
CObj 1	To enable the learner to understand the relationship between risk and return	
CObj 2	To differentiate between the various types of equity	
CObj 3	To enable the learner to recognise how stock exchanges work and thedesire to pursuecertain order types	
CObj 4	To compare valuation and trading techniques along with different investment styles and strategies	
	Course Outcomes	
COut 1	The learner is able to decide prudently on the type of investment depending on the risk-taking appetite.	
COut 2	The learner understands the difference between ESOP, equity shares and rights	
COut 3	The learner understands the functioning of the stock market and the recent trends	
COut 4	There is awareness about the role of SEBI as a governing body	
COut5	Learner is able to explain the meaning and features of financial regulators in India	
	such as the Reserve Bank of India (RBI), Securities and Exchange Board of India(SEBI), and Insurance Regulatory and Development Authority of India (IRDAI).	
COut 6	Learner understands the Role and Functions of the financial regulators.	
COut 7	Learner understands the meaning and classification of various financial instruments.	
COut 8	Learner understands evaluation and characteristics of financial instruments and new financial instruments.	
COut 9	Evaluate and compare different financial products available in India including their features, risks, and benefits.	
COut10	Analyze the interdependencies and interactions between various components of the Indian financial system.	

Modules at a Glance		
Equity Markets –I		
Sr. No.	Modules	No. of Lectures
1	Introduction to Equity Markets in India	15
2	Primary Market Activities	15
3	Secondary Market – Stock Markets	15
	Total No. of Lectures:	45

Sr. No.	Modules		
1	Introduction to Equity Markets in India		
	Meaning and Definition of equity shares Relation between growth of companies and growth in equity markets Capital structure and Separation of ownership and management Where equity fits in broader Capital Markets Evolution of Equity markets in India		
2	Primary Market Activities		
	IPO - Methods followed, Book Building Role of Merchant bankers in fixing the price Red - Herring Prospectus: it's unique features ASBA and its features Green Shoe option - Sweat equity, ESOP Rights, Bonus and special actions		
3	Secondary Market – Stock Markets		
	Definition and functions of stock Exchanges Evolution and Growth of Stock Exchanges Stock Exchanges in India NSE, BSE, OTCEI and Overseas Stock Exchanges Recent Development in Stock Exchanges, Merger of SEBI with FOMC StockMarket Indices Need for attracting more investors towards equity Need for strengthening secondary markets Role of SEBI in market regulations		

Financial Markets V

Equity Markets -I

Question Paper Pattern (Academic Year: 2024-25)

Internal Examination & Semester End Examination – 100 Marks

A] Internals-40 Marks

Method of evaluation	Total marks
Assignment	20
Case study analysis/ Project	20
TOTAL	40

Maximum Marks	60
Duration	: 2 Hours

Question No.	Particulars (Nature of Questions)	Marks (Given)	Marks(To Be Attempted)
Q-1	Answer the following: Any 4		
	A. Theory/Concept-based question	5	
	B. Theory/Concept-based question	5	
	C. Theory/Concept-based question	5	20
	D. Theory/Concept-based question	5	
	E. Theory/Concept-based question	5	
Q-2	Answer the following: Any 4		
	A. Theory/Concept-based question	5	
	B. Theory/Concept-based question	5	
	C. Theory/Concept-based question	5	20
	D. Theory/Concept-based question	5	
	E. Theory/Concept-based question	5	
Q-3	Answer the following: Any 4		
	A. Theory/Concept-based question	5	
	B. Theory/Concept-based question	5	
	C. Theory/Concept-based question	5	20
	D. Theory/Concept-based question	5	
	E. Theory/Concept-based question	5	
	Total		60

Reference Books (with Chapters):

- The Little Book of Common Sense Investing by Jack Bogle
- A Random Walk Down Wall Street by Burton G. Malkiel
- One Up On Wall Street by Peter Lynch
- How to Make Money in Stocks by William J. O'Neil
- How To Avoid Loss and Earn Consistently by Prasenjit Paul

Syllabus of courses of SYBFM Programme (With effect from the Academic Year 2024-2025) 1. Major (1.A Financial Markets VI)

	1.Major	
1.A Financial Markets VI		
	1.A.b Debt Markets -I	
	Course Objectives and Course Outcomes	
	Course Objectives	
CObj 1	To understand the evolution, working and role of debt market in India.	
CObj 2	To understand the role, functions of the various intermediaries and regulatory bodies	
CObj 3	To enable the learner to understand the concept of earning modest returns	
CObj 4	To analyse and thereby decide in favour of debt investment	
	Course Outcomes	
COut 1	The learner has developed good understanding of evolution, functioningand growth off fixed income securities	
COut 2	The learner has understanding of the regulatory framework prevailing in the Indian debt market	
COut 3	The learner is aware of the regulatory body that governs the debt market	
COut 4	The learner is aware of the regulatory body that governs the debt market	
COut5	Learner is able to explain the meaning and features of financial regulators in Indiasuch as the Reserve Bank of India (RBI), Securities and Exchange Board of India (SEBI), and Insurance Regulatory and Development Authority of India (IRDAI).	
COut 6	Learner understands the Role and Functions of the financial regulators.	
COut 7	Learner understands the meaning and classification of various financial instruments.	
COut 8	Learner understands evaluation and characteristics of financial instruments and new financial instruments.	
COut 9	Evaluate and compare different financial products available in India including their features, risks, and benefits.	
COut10	Analyze the interdependencies and interactions between various components of the Indian financial system.	

1.A.b. Debt Markets -I (3 Credits)Semester III

Modules at a Glance			
Debt Markets -I			
Sr. No.	Modules	No. of Lectures	
1	Introduction to the Debt Markets	15	
2	Market Participants and Operations	15	
3	Bonds and Bond Mathematics	15	
	Total No. of Lectures: 45		

Sr. No.	Modules	
1	Introduction to the Debt Markets	
	Meaning, Scope and Functions of Debt Markets Evolution of Debt Markets in India Structure of Debt Market in India Characteristics of different Debt Market Products Regulatory framework in the Indian debt market	
2	Market Participants and Operations	
	Market Segmentation – G Sec and Corporate Bond Markets Open market operations Securities Trading Corporation of India Primary dealers in Government Securities	
3	Bonds and Bond Mathematics	
	Features of bonds Types of bonds Issuers of bonds Bond ratings- importance & relevance and rating agencies. Bond market indices and benchmarks Determinants of the value of bonds Relation between short term and long term interests Bond Mathematics Yield Curve Analysis	

Financial Markets VI

Debt Markets -I

Question Paper Pattern (Academic Year: 2024-25)

Internal Examination & Semester End Examination – 100 Marks

A] Internals-40 Marks

Method of evaluation	Total marks
Assignment	20
Case study analysis/ Project	20
TOTAL	40

Maximum Marks	60
Duration	: 2 Hours

Question No.	Particulars (Nature of Questions)	Marks (Given)	Marks(To Be Attempted)
Q-1	Answer the following: Any 4		
	A. Theory/Concept-based question	5	
	B. Theory/Concept-based question	5	
	C. Theory/Concept-based question	5	20
	D. Theory/Concept-based question	5	
	E. Theory/Concept-based question	5	
Q-2	Answer the following: Any 4		
	A. Theory/Concept-based question	5	
	B. Theory/Concept-based question	5	
	C. Theory/Concept-based question	5	20
	D. Theory/Concept-based question	5	
	E. Theory/Concept-based question	5	
Q-3	Answer the following: Any 4		
	A. Theory/Concept-based question	5	
	B. Theory/Concept-based question	5	
	C. Theory/Concept-based question	5	20
	D. Theory/Concept-based question	5	
	E. Theory/Concept-based question	5	
	Total		60

Reference Books (with Chapters):

- The Global Debt Trap: How to Escape the Danger and Build a Fortune, Roland Leuschel · Claus Vogt · Martin D. Weiss
- The Ultimate Depression Survival Guide: Protect Your Savings, Boost Your Income, and Grow WealthyEven in the Worst of Times Martin D. Weiss
- The Little Book of Behavioral Investing: How not to be your own worst enemy by James Montier

Syllabus of courses of SYBFM Programme (With effect from the Academic Year 2024-2025 1. Major (1.A Financial Markets VII)

1.A.c. Commodity Markets -I (3 Credits)Semester III

	1.Major
	1.A Financial Markets VII
	1.A.c. Commodity Markets -I
	Course Objectives and Course Outcomes
	Course Objectives
CObj 1	To study companies, analyze financials and evaluate quantitative and qualitative aspects for decision-making.
CObj 2	To enable the learner to predict value for certain equity under the specific Circumstances
CObj 3	To enable the learner to suggest and comment on holding, sell or buy a position
CObj 4	The evaluate and understand the different categories of products in the Indian commoditymarket
	Course Outcomes
COut 1	The learner demonstrates a working knowledge of financial terms and concepts
COut 2	The learner is able to analyse the financial market and instruments
COut 3	The learner is able to understand and analyse the mechanics and regulations of financial instruments and determine the value of stock, bond and Securities
COut 4	The learner is able to Identify Risk Management issues related to market positions
COut5	Learner is able to explain the meaning and features of financial regulators in India such as the Reserve Bank of India (RBI), Securities and Exchange Board of India(SEBI), and Insurance Regulatory and Development Authority of India (IRDAI).
COut 6	Learner understands the Role and Functions of the financial regulators.
COut 7	Learner understands the meaning and classification of various financial instruments.
COut 8	Learner understands evaluation and characteristics of financial instruments and new financial instruments.
COut 9	Evaluate and compare different financial products available in India including their features, risks, and benefits.
COut10	Analyze the interdependencies and interactions between various components of the Indian financial system.

Modules at a Glance				
Commodity Markets -I				
Sr. No.	Sr. No. Modules No. of Lectures			
1	Introduction to Commodity Market	15		
2	Categories of products in Indian Commodity Markets	15		
3	Commodity Markets and Exchanges in India	15		
	45			

Sr. No.	Modules		
1	Introduction to Commodity Market		
	Meaning, Scope and relevance of Commodity Markets		
	Highlighting the Dynamism of commodity markets		
	Importance and critical functions of commodity markets		
	Structure and levels in commodity markets		
2	Categories of products in Indian Commodity Markets		
	Agricultural goods and their dynamics		
	Metals and their dynamics		
	Energy products and their dynamics		
	Connection between Commodity markets and equity markets		
3	Commodity Markets and Exchanges in India		
	OTC and exchange-traded mechanisms		
	Trading & Clearing methods		
	Commodity futures		
	MCX, NCDEX, NMCE, Mandis and APMCs		
	Role of Government in developing commodity markets		
	Role of Information in Commodities		
	Regulations – FMC and beyond		
	Commodity markets - Logistics and Warehousing		

Financial Markets VII

Commodity Markets -I

Question Paper Pattern (Academic Year: 2024-25)

Internal Examination & Semester End Examination – 100 Marks

A] Internals-40 Marks

Method of evaluation	Total marks
Assignment	20
Case study analysis/ Project	20
TOTAL	40

Maximum Marks	60
Duration	: 2 Hours

Question No.	Particulars (Nature of Questions)	Marks (Given)	Marks(To Be Attempted)
Q-1	Answer the following: Any 4		
	A. Theory/Concept-based question	5	
	B. Theory/Concept-based question	5	
	C. Theory/Concept-based question	5	20
	D. Theory/Concept-based question	5	
	E. Theory/Concept-based question	5	
Q-2	Answer the following: Any 4		
	A. Theory/Concept-based question	5	
	B. Theory/Concept-based question	5	
	C. Theory/Concept-based question	5	20
	D. Theory/Concept-based question	5	
	E. Theory/Concept-based question	5	
Q-3	Answer the following: Any 4		
	A. Theory/Concept-based question	5	
	B. Theory/Concept-based question	5	
	C. Theory/Concept-based question	5	20
	D. Theory/Concept-based question	5	
	E. Theory/Concept-based question	5	
	Total		60

Reference Books (with Chapters):

- □ Commodities For Dummies by Amine Bouchentouf
- □ The Little Book of Commodity Investing by John Stephenson
- Higher Probability Commodity Trading by Carley Garner
 Commodity Options by Carley Garner
- Trading Commodities and Financial Futures by George Kleinman
 Hedging Commodities by Slobodan Jovanovic

AC: 31/01/2024

Syllabus of courses of SYBFM Programme (With effect from the Academic Year 2024-2025) 2. Minor (Allied Course III)

	1.Minor		
	2.A General Commercial Law		
	2.A.a General Commercial Law		
	Course Objectives and Course Outcomes		
	Course Objectives		
CObj 1	To introduce the nature of industrial dispute and the role of trade unions		
CObj 2	To understand the laws related to health and safety of the employees		
CObj 3	To familiarize the concept of provident fund and insurance		
CObj 4	To understand the laws in relation to wages		
	Course Outcomes		
COut 1	Helps the learners understand the concepts and apply them to maintain industrial relations.		
COut 2	Clear understanding of the compensation payable to employees in case of accident		
COut 3	Better understanding with regards to the contributions made and the benefits available under the act		
COut 4	There is applicability of the concept of wages, bonus and gratuity		
COut5	The learner can apply the rules relating to payment of compensation		
COut 6	The learner understands the Powers and Duties of ESI		
COut 7	Learners can apply the laws Related to Compensation Management		
COut 8	Learners can remember the provisions related to payment of gratuity		
COut 9	Learners are law abiding citizens with a sense of responsibility		
COut10	Learners are able to apply the rules related to Employees State Insurance Act		

2.A.a. General Commercial Law (3 Credits)Semester III

General Commercial Law		
Sr. No.	Modules	No. of Lectures
1	Laws related to Industrial Relations and IndustrialDisputes, Health, safety and Welfare	15
2	Laws related to Social Security	15
3	Laws related to compensation	15
	Total No. of Lectures:	45

Sr. No.	Modules	
1	Laws related to Industrial Relations and Industrial Disputes, Health, safetyand Welfare	
	Strikes, Lockouts, Layoff, Retrenchment and Closure Trade Unions Act, 1926 – Registration, Rules and Funds of a Trade Union Factories Act, 1948 – The Inspecting Staff, Provisions relating to Health, Safetyand Welfare Workmen's Compensation Act, 1923 – Doctrines of Assumed Risk, Common Employment and Contributory Negligence, when is an employer liable and not liable to pay compensation, Rules relating to payment of compensation.	
2	Laws related to Social Security	
	 Employees State Insurance Act, 1948 – Powers and Duties of ESI, ESI fund, Contribution rate and period, Benefits under the act (Sickness, Maternity, Disablement, Medical, Funeral and Dependent. Employees Provident Fund Act, 1952 – Contribution period and rate, Withdrawal of PF accumulations, Employee's Pension Scheme, Employees Deposit Linked Insurance Scheme. 	
3	Laws related to compensation	
	Payment of Wages Act, 1948 - Rules relating to payment of wages, Authorized deductions, Remedy for deducted or delayed wages Payment of Gratuity Act, 1972 – When is gratuity payable, Rules relating topayment of gratuity, Nomination	

Modules at a Glance

General Commercial Law Question Paper Pattern (Academic Year: 2024-25)

Internal Examination & Semester End Examination – 100 Marks

A] Internals-40 Marks

Method of evaluation	Total marks
Assignment	20
Case study analysis/ Project	20
TOTAL	40

Maximum Marks	60
Duration	: 2 Hours

Question No.	Particulars (Nature of Questions)	Marks (Given)	Marks(To Be Attempted)
Q-1	Answer the following: Any 4		
	A. Theory/Concept-based question	5	
	B. Theory/Concept-based question	5	
	C. Theory/Concept-based question	5	20
	D. Theory/Concept-based question	5	
	E. Theory/Concept-based question	5	
Q-2	Answer the following: Any 4		
	A. Theory/Concept-based question	5	
	B. Theory/Concept-based question	5	
	C. Theory/Concept-based question	5	20
	D. Theory/Concept-based question	5	
	E. Theory/Concept-based question	5	
Q-3	Answer the following: Any 4		
	A. Theory/Concept-based question	5	
	B. Theory/Concept-based question	5	
	C. Theory/Concept-based question	5	20
	D. Theory/Concept-based question	5	
	E. Theory/Concept-based question	5	
	Total		60

AC: 31/01/2024

Reference Books (with Chapters):

- Industrial and Labour Laws, Dr. Sanjeev Kumar, Bharat Law HP Ltd
- Labour and Industrial Laws, S.N Misra, Central Law Publication
- Labour and Industrial Laws, P.K.Padhi, Eastern Economy Edition
- Commercial and Industrial Law, S.K. Dasgupta, Sterling Publishers Pvt. Ltd
- Industrial Law, Mr. N.D. Kapoor, Sultan Chand
- Employee's Provident Fund, Chopra D.S, Labour Law Agency
- Industrial Law, Mr. P.L. Mallick, Sultan Chand
- Essence of Personnel Management and Industrial Relations, Cowling, Prentice Ha

Syllabus of courses of S.Y.B.F.M. Programme (With effect from the Academic Year 2024-2025) General /Open Electives General Electives (GE)/ Open Elective (OE)

3.A.a Digitalization of Money Markets(3 Credits)

Semester III

3. Genera	al /Open Electives
	General Electives (GE)/ Open Elective (OE)
3.A.a Dig	gitalization of Money Markets
	Course Objectives and Course Outcomes
	Course Objectives
CObj 1	To enable learners gain insights into the evolution of financial systems and the impactof technology on money markets, and the role of digital currencies.
CObj 2	To understand practical applications and critical analysis of the digitalization trendsshaping financial landscapes
CObj 3	To enable learners, understand the concepts and the role of digital currencies.
	Course Outcomes
COut 1	The learner understands the basics of digitalization in finance.
COut 2	The learner understands the relationship between Fintech and its Impact.
COut 3	Learner develops skills to evaluate digital currencies and Blockchain Technology.
COut 4	There is awareness of the Regulatory framework and its challenges.
COut 5	The learner learns about Big Data and Analytics in Money markets.
COut 6	The learner is familiar with the digital concepts of money markets.
COut 7	There is better understanding of the framework of money market digitalization.
COut 8	The learner is aware of the tools and methodology involved in digitalization concepts.
COut 9	There is greater level of financial literacy.
COut 10	The learner is able to take informed investment decisions

Digitalization of Money Markets		
Sr. No.	Modules	No. of Lectures
1	Foundations of Digital Money Markets	15
2	Digital Currencies and Blockchain Technology	15
3	Applications and Future Trends	15
	Total No. of Lectures:	45

Modules at a Glance

Sr. No.	Modules
1	Foundation of Digital Money Markets
	Introduction to Money Markets Basics of Digitalization in Finance Historical Perspective on Money Markets Fintech and its Impact
2	Digital Currencies and Blockchain Technology
	Cryptocurrencies: Bitcoin, Ethereum, and beyond Blockchain Technology and Distributed Ledger Central Bank Digital Currencies (CBDCs) Regulatory Frameworks and Challenges
3.	Applications and future trends
	Digital Payment Systems Smart Contracts and Decentralized Finance (DeFi) Big Data and Analytics in Money Markets Future Trends in Digital Money Markets

Digitalization of Money Markets

Question Paper Pattern (Academic Year: 2024-25)

Internal Examination & Semester End Examination – 100 Marks

A] Internals-40 Marks

Method of evaluation	Total marks
Practical Work	20
Assignment	20
TOTAL	40

Maximum Marks	60
Duration	: 2 Hours

Question No.	Particulars (Nature of Questions)	Marks (Given)	Marks(To Be Attempted)
Q-1	Answer the following: Any 4		
	A. Theory/Concept-based question	5	
	B. Theory/Concept-based question	5	
	C. Theory/Concept-based question	5	20
	D. Theory/Concept-based question	5	
	E. Theory/Concept-based question	5	
Q-2	Answer the following: Any 4		
	A. Theory/Concept-based question	5	
	B. Theory/Concept-based question	5	
	C. Theory/Concept-based question	5	20
	D. Theory/Concept-based question	5	
	E. Theory/Concept-based question	5	
Q-3	Answer the following: Any 4		
	A. Theory/Concept-based question	5	
	B. Theory/Concept-based question	5	
	C. Theory/Concept-based question	5	20
	D. Theory/Concept-based question	5	
	E. Theory/Concept-based question	5	
	Total		60

References Books:

- Digital Gold: Bitcoin and the Inside Story of the Misfits and Millionaires Trying to Reinvent Money" by Nathaniel Popper
- "The Age of Cryptocurrency: How Bitcoin and Digital Money are Challenging the Global Economic Order"by Paul Vigna and Michael J. Casey
- "Blockchain Basics: A Non-Technical Introduction in 25 Steps" by Daniel Drescher
- "The Basics of Bitcoins and Blockchains" by Antony Lewis

Syllabus of courses of S.Y.B.F.M. Programme (With effect from the Academic Year 2024-2025) 3. General /Open Electives General Electives (GE)/ Open Elective (OE)

3.A.a Blockchain Technology(3 Credits)

3. Gener	3. General /Open Electives		
	General Electives (GE)/ Open Elective (OE)		
3.A.a Bl	ockchain Technology		
	Course Objectives and Course Outcomes		
	Course Objectives		
CObj 1	To understand core concepts and technologies underlying blockchain technology		
CObj 2	To gain in-depth knowledge of cryptocurrencies, their fundamentals, and thesurrounding ecosystem		
CObj 3	To explore the diverse applications of blockchain technology across various sectors		
	Course Outcomes		
COut 1	Acquire knowledge of Fundamentals of Blockchain and its different components		
COut 2	Understand the role of cryptocurrencies and working of wallets		
COut 3	Understand Role and how to implement Blockchain in various sectors		

Modules at a Glance

Blockchain Technology		
Sr. No.	Modules	No. of Lectures
1	Blockchain fundamentals	15
2	Cryptocurrency	15
3	Blockchain Applications	15
Total No. of Lectures:		45

Semester III

Sr. No.	Modules		
1	Blockchain Fundamentals		
	 Distributed Ledger Technology (DLT): Concept of DLT, its advantages over centralized databases, use of DLT in blockchain Demystifying Blockchain: Define Blockchain, its core components (blocks, hash functions, consensus mechanisms), and the chain structure. Security and Transparency: Encryption and immutability inherent in blockchain,Data security and transparent record-keeping. Consensus Mechanisms: Compare popular consensus mechanisms like PoW, PoS,and their impact on performance and energy consumption. DApps: Decentralized Applications, Ethereum dApps, Advantages and Disadvantages. 		
2	Cryptocurrency		
	 Introduction: History of Cryptocurrency, Types of Cryptocurrencies based ontheir purpose, consensus mechanisms, and unique features Understanding Cryptography and Mining: Cryptographic basis, Public Key,Private Key, Digital signatures, Mining process for securing transactions Wallets and Cryptocurrency Exchanges: Different types of cryptocurrency wallets (hot, cold, hardware) and explore centralized and decentralized exchangesfor buying, selling, and trading digital assets Smart Contracts and DeFi Applications: Smart contracts for decentralized finance (DeFi), Applications like lending, borrowing, and trading on blockchain platforms. 		
3.	Blockchain Applications		
	Financial Applications: Role of blockchain in revolutionizing areas like cryptocurrencies, digital payments, and secure financial transactions. Supply Chain Management: Blockchain to track and verify the provenance ofgoods, enhance transparency, and combat counterfeiting in supply chains. Healthcare and Identity Management: Blockchain to secure patient data, facilitate medical record sharing, and enable secure digital identities.		

<u> Blockchain Technology – Teaching Pedagogy</u>

Question Paper Pattern (Academic Year: 2024-25)

Internal Examination & Semester End Examination – 100 Marks

A] Internals-40 Marks

Method of evaluation		Total marks
Assignment/ Project/ Case study / PowerPoint presentation		20
Report on guest lecture/ activity		20
	TOTAL	40

Maximum Marks	60
Duration	: 2 Hours

Question No.	Particulars (Nature of Questions)	Marks (Given)	Marks(To Be Attempted)
Q-1	Answer the following: Any 4		
	A. Theory/Concept-based question	5	
	B. Theory/Concept-based question	5	
	C. Theory/Concept-based question	5	20
	D. Theory/Concept-based question	5	
	E. Theory/Concept-based question	5	
Q-2	Answer the following: Any 4		
	A. Theory/Concept-based question	5	
	B. Theory/Concept-based question	5	
	C. Theory/Concept-based question	5	20
	D. Theory/Concept-based question	5	
	E. Theory/Concept-based question	5	
Q-3	Answer the following: Any 4		
	A. Theory/Concept-based question	5	
	B. Theory/Concept-based question	5	
	C. Theory/Concept-based question	5	20
	D. Theory/Concept-based question	5	
	E. Theory/Concept-based question	5	
	Tota	l	60

AC: 31/01/2024

- Elisa Bertino, Hai Jiang, Kuan-Ching Li, Xiaofeng Chen, "Essentials of Blockchain Technology", CRC Press, 2019
- E. Golden Julie, J. Jesu Vedha Nayahi, Noor Zaman Jhanjhi, "Blockchain Technology Fundamentals, Applications, and Case Studies", CRC Press, 2020
- Quinn DuPont, "Cryptocurrencies and Blockchains", Polity Press, 2019
- □ Anita Ravani, Sashi Edupuganti, Jeannette Pugh, Sooraj Sushama, "Applications of BlockchainTechnology An Industry Focus", CRC Press, 2024

Syllabus of courses of S.Y.B.F.M. Programme

(With effect from the Academic Year 2024-2025)

3. General /Open Electives

3.A.a. Data Visualization using Power BI (3 Credits)

Semester III

3. Gener	3. General /Open Electives		
	General Electives (GE)/ Open Elective (OE)		
3.A.a Da	ta Visualization using Power BI		
	Course Objectives and Course Outcomes		
	Course Objectives		
CObj 1	To Learn how to integrate Excel and Power BI		
CObj 2	To learn different usage of Power Bi Reporting		
CObj 3	To learn how to manage relationships between data		
CObj 4	To learn about filters and dashboards in Power BI		
	Course Outcomes		
COut 1	Learner should acquire knowledge of using Power Bi with Excel		
COut 2	Learners should be able to aggregate data in one location saving time and effort.		
COut 3	Learners should be able to use different filters Power BI		
COut 4	Learners should be able to create visualizations to display the analyticsyou need to answer crucial business questions.		
COut 5	Learners should be able to create a dashboard for KPI growth tracking.		

Data Visualization using Power BI		
Sr. No.	Modules	No. of Lectures
1	Introduction to Power BI	15
2	Data Modeling	15
3	Filters and Dashboards	15
	Total No. of Lectures:	45

	Modules	at a	Glance
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Sr. No.	Modules
1	Introduction to Power BI
	BI Reporting, parts of Power BI, architecture of Power BI, Power BI Components, Licensing in Power BI, overview on creation of report Transformation of imported data, use of query editor for shaping data, combine data from multiple sources, Apply filter on data in query editor / Parameter based filtering, Power BI query editor functionalities
2	Data Modeling
	How to manage your data relationships, Data modeling, Cardinality options/ Types of relationships, Calculated measures, Difference between calculated column and measures, Evaluation of measures, Creation of calculated table, Creation of master calendar table, Explore time-based data, Exploring Drill down functionality of data Creation of Custom hierarchy apart from date hierarchy
3.	Filters and Dashboards
	Types of Filters, applying Filter to a Visual, applying Filter to a Page Applying Filter to a Report, How to manage action of visuals onto another visual/ Edit interactions, managing RLS(Row level security) in Power BI, Manage Roles, page navigation in Power BI Make useof bookmarks, Clearing drill through, Clearing slicer, KPI Identification, Dashboard Design, Principles, Clarity and Hierarchy

Data Visualization using Power BI

Question Paper Pattern (Academic Year: 2024-25)

Internal Examination & Semester End Examination – 100 Marks

A] Internals-40 Marks

A Certified copy journal is essential to appear for the practical examination.

Sr.No	Particulars	Marks
1.	Practical Work	20
2.	Journal	10
3.	Viva Voce	10
	Total	40

Practical Work (20 Marks) List of Practicals to be Conducted		
1.	Data Acquisition and Cleaning	
	Excel PivotTables & Power Query Data Cleansing in Power Query Merge & Filter in Power Query	
2.	Data Modelling	
	Relationship Diagram tool Calculated Columns & Measures Dates in Power BI	
3.	Visual Storytelling with Power BI	
	Dashboard Design Slicers and report filters in Power BI Choosing Maps and Chart acc to data	
4.	Navigation and Collaboration:	
	Bookmarks & Drill Down Excel Linkages Power BI Service Sharing	
5.	KPI Dashboard	
	Identify and Define KPIs Create a Dashboard using appropriate Visualizations Use conditional Formatting	

B] Semester End Examination (SEE)- 60 Marks

Maximum Marks Duration 60 : 2 Hours

Question No.	Particulars (Nature of Questions)	Marks (Given)	Marks(To Be Attempted)
Q-1	Answer the following: Any 4		
	A. Theory/Concept-based question	5	
	B. Theory/Concept-based question	5	
	C. Theory/Concept-based question	5	20
	D. Theory/Concept-based question	5	
	E. Theory/Concept-based question	5	
Q-2	Answer the following: Any 4		
	A. Theory/Concept-based question	5	
	B. Theory/Concept-based question	5	
	C. Theory/Concept-based question	5	20
	D. Theory/Concept-based question	5	
	E. Theory/Concept-based question	5	
Q-3	Answer the following: Any 4		
	A. Theory/Concept-based question	5	
	B. Theory/Concept-based question	5	
	C. Theory/Concept-based question	5	20
	D. Theory/Concept-based question	5	
	E. Theory/Concept-based question	5	
	Tota		60

Sr. No.	Title	Author/s	Publisher	Edition	Year
1	Power BI Data Analysis And Visualization	Suraj Gaurav, Suren Machiraj	De G Press	_	2018
2	Analyzing Data with Power BI and Power Pivot for Excel	Alberto Ferrari	Microsoft Press	First	2017
3	Basics of Power BI Modeling	Reza Rad	Radacad Systems Ltd.	_	2020
4	Power BI Data Analysis And Visualization	Suraj Gaurav, Suren Machiraj	De G Press	_	2018

Syllabus of courses of SY B.F.M. Programme (With effect from the Academic Year 2024-2025) 3. Vocational & Skill Enhancement Courses (VSEC)

4 B. Vocational Skill Course (VSC)

4.B.a Financial Planning I -Equity Trading and Investing Skills (3 Credits)

Semester III

4. Vocational & Skill Enhancement Courses (VSEC)

4.B Vocational Skill Courses (VSC)

4.B. Fina	4.B. Financial Planning I -Equity Trading and Investing Skills			
	Course Objectives and Course Outcomes			
	Course Objectives			
CObj 1	To create awareness about careers in equity markets			
CObj 2	To provide information & impart practical skills for trading & investing			
CObj 3	To provide information about the cash & derivatives segments of equity market in India			
CObj 4	To ensure that the trainees turn into successful traders & investors.			
	Course Outcomes			
COut 1	The learner understands basic & applied concepts of equity marketfunctioning.			
COut 2	The learner understands the practical aspects of equity trading & investing			
COut 3	The learner will be able to trade in the cash & derivatives segment of equity market.			
COut 4	The learner will be able to trade in the cash & derivatives segment of equitymarket.			
COut 5	The learner will be able to trade & invest in equity, personally & professionally			
COut 6	Learners develops skills to evaluate and manage financial risks through appropriate insurance coverage and risk management strategies.			
COut 7	Learner understands the importance of retirement planning and develop strategies to achieve retirement goals.			
COut 8	Learner understands tax planning strategies to minimize tax liabilities and optimize personal finances.			
COut 9	Learner understands the basic investor psychology towards personal financial goals.			
COut10	Learner demonstrates knowledge of ethical considerations and professional standards in personal financial planning.			

Financial Planning – I (Equity Trading and Investing Skills)			
Sr. No.	Modules	No. of Lectures	
1	Introduction: Offline Sigma-Lines Methods of Sigma-Line Trading& Investing Online	15	
2	Equity Investment with Sigma-Lines Online, PositionalTrading with Sigma- Lines Online Swing Trading with Sigma-Lines Online	15	
3	Intra-Day Trading with Sigma-Lines Online, Scalping with Sigma-Lines, Live Market Operations	15	
	Total No. of Lectures:	45	

Modules at a Glance

Sr. No.	Modules
1	Introduction: Offline Sigma-Lines Methods of Sigma-Line Trading&Investing Online
	Charles Dow's Greatest Discovery
	Dr. Mokashi's Theory of Triple Trend Integration
	Cash, Futures & Options Segments of Equity Markets
	Sigma-Lines
	TidesWavsRipps
	Behaviour of Sigma-Lines
	Average Sigma-Lines
	BullLineBear Line
	Behaviour of Average Sigma-Lines
	Triple Trend Method -TTM without Bull or Bear Line TTMwith Bull or Bear Line
	Double Trend Method -DTM without Bull orBear Line DTM with Bull or Bear Line
	Single Trend Method -STM without Bull or Bear Line STM
	with Bull or Bear Line
2	Equity Investment withSigma-Lines Online, Positional Trading withSigma-Lines Online and Swing Trading with Sigma-Lines Online
	Equity Investment
	Positional Trading
	Swing Trading

3	Intra-Day Trading with Sigma-Lines Online, Scalping with Sigma-Lines, Live Market Operations
	Intra-Day Trading
	Scalping
	Day 1 shall be a Tuesday as weekly expiration day.
	Day 2 shall be a Thursday as the monthly expiration day.
	Practical Cases

Financial Planning - I Equity Trading and Investing Skills

Question Paper Pattern (Academic Year: 2024-25)

Internal Examination & Semester End Examination – 100 Marks

A] Internals-40 Marks

Method of evaluation	Total marks
Assignment	20
Case study analysis/ Project	20
TOTAL	40

Maximum Marks	60
Duration	: 2 Hours

Question No.	Particulars (Nature of Questions)	Marks (Given)	Marks(To Be Attempted)
Q-1	Answer the following: Any 4		
Q-2	 A. Theory/Concept-based question B. Theory/Concept-based question C. Theory/Concept-based question D. Theory/Concept-based question E. Theory/Concept-based question Answer the following: Any 4 	555555555555555555555555555555555555555	20
Q-2	A. Theory/Concept-based question B. Theory/Concept-based question C. Theory/Concept-based question D. Theory/Concept-based question E. Theory/Concept-based question	555555555555555555555555555555555555555	20
Q-3	Answer the following: Any 4		

 A. Theory/Concept-based question B. Theory/Concept-based question C. Theory/Concept-based question D. Theory/Concept-based question E. Theory/Concept-based question 	5 5 5 5 5	20
Total		60

Reference Books:

- Introduction to Technical Analysis Martin Pring
- Technical Analysis of Financial Markets John Murphy
- Technical Analysis of Stock Trends Edwards & Magee
- The Stock Market Barometer William Hamilton
- The Dow Theory Robert Rhea

Syllabus of courses of S. Y B. F.M. Programme

(With effect from the Academic Year 2024-2025) 4. Ability Enhancement Courses, Value Enhancement Course, Indian Knowledge System 5.A Ability Enhancement Course (AEC) 5.A.a Marathi/Hindi/Sanskrit (2 Credits)Semester III

5. Ability	Enhancement Courses, Value Enhancement Course, Indian Knowledge System
5.A Abilit	ty Enhancement Course (AEC)
5.A.a Ma	rathi/Hindi/Sanskrit (2 Credits)
	Course Objectives and Course Outcomes
	Course Objectives
CObj 1	To create curiosity in the minds of learns about the chosen language
CObj 2	To help the learners understand the need to learn the chosen language
CObj 3	To introduce learners to the structure of the chosen language
CObj 4	To understand the richness of Indian selected languages with reference to consonants and vowels
CObj 5	To understand unique characteristics of the chosen language
CObj 6	To understand the use of Gender and Tenses
CObj 7	To understand the use of Idioms and Phrases
CObj 8	To know the various Dialects of the chosen language
CObj 9	To understand the application of technology for communication by alternatively abled
CObj 10	To understand the need of learning Functional Language
CObj 11	To get familiarised with the Literature of the chosen language
Ũ	To get familiarised with the Literature translated to the chosen language from other languages
	To learn to appreciate the other literary forms of the chosen language
	Course Outcomes
COut 1	The learner will be curious to learn the chosen language
COut 2	The learner will be able to understand the need to learn the chosen language
COut 3	The learner will get familiar with the structure of the chosen language
COut 4	The learner will understand the richness of Indian selected languages with reference to constants and vowels
COut 5	The learner will understand unique characteristics of the chosen language
COut 6	The learner will understand how to apply knowledge of Gender and Tenses
COut 7	The learner will understand the use of Idioms and Phrases

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COut 8	The learner will know the various dialects of the chosen language	
COut 9	The learner will understand the application of technology for communication by alternatively abled	
COut 10	The learner will understand the need of learning functional language	
COut 11	The learner will get familiarised with the Literature of the chosen language	
COut 12	The learner will get familiarised with the Literature translated to the chosen language from other languages	
COut 13	The learner will learn to appreciate the other literary forms of the chosen language	

	Marathi/Hindi/Sanskrit (2 Credits)		
Sr. No.	Modules	No. of Lectures	
1	Introduction to Linguistic Studies	10	
2	Languages in Communication	10	
3	Sanskrit Literature	10	
	Total No. of Lectures:	30	

Modules at a Glance

Sr. No.	Modules	
1	Introduction to Linguistic Studies	
	Structure of languages English language compared with the select Indian languages – viz, Sanskrit, Marathi and Hindi Richness of Indian Languages with reference to Vowels, Consonants (maatra) Rhythmic characteristics of Indian languages. Unique characteristics of language (such as Repeat words like Sarsarahat) Logic behind numbers in regional languages Use of Tenses and Gender	
2	Languages in Communication	
	Use of Idioms and Phrases Oral and Written Dialects Communication for alternatively abled Use of Sign language Language learning – Use of Technology Need for learning Functional Language	
3	Sanskrit Literature	
	The faculty member shall discuss with the learners about the richness of literature of the chosen language. Subsequently the entire class will choose two authors and two poets. The chosen literary work needs to be read and discussed in the class. Based on this module, internal evaluation shall be done.	

Marathi/Hindi/Sanskrit (2 Credits)

Question Paper Pattern (Academic Year: 2024-25)

Internal Examination & Semester End Examination – 50 Marks

A] Internals-20 Marks

Method of evaluation	Total marks
1. The faculty will decide the means of taking internal evaluation. It can be	20
oral quiz, dialogue exchange, role play, reading comprehension, listening	
comprehension etc.	
TOTAL	20

B] Semester End Examination (SEE)- 30 Marks
External evaluation:
Marks: 30
Duration: 1 hours
Note: (1) All questions are compulsory
(2) The learners can write answers in the chosen language or it

(2) The learners can write answers in the chosen language or in English/Marathi/Hindi

Question No.	Particulars (Nature of question)	Marks
Questions with sub questions	Flexibility is given to the faculty to decide the paper pattern and depending	30
	on leaner's ability will design the question paper. It can contain questions	
	like identifying or changing gender, identifying or changing tenses,	
	making rhythmic words, answer in one sentence etc.	
	Total	30

List of Reference Books: Marathi

स्थुलवाचनाकरिता काही पुस्तके ०१. ओरिगामीची गंमत - अनिल अवचट ०२. गोड गोष्टी भाग १ ते १० - साने गुरुजी ०३. फास्टर फेणे - भा.रा.भागवत ०४. वाचू आनंदे - माधुरी पुरंदरे ०५. आपला भारत - राजा मंगळवेढेकर (या व्यतिरिक्त इतर पुस्तकेही आपण द्यावीत.)

संदर्भ ग्रंथ

०१. व्यावहारिक मराठी - ल.रा.नसिराबादकर ०२. व्यावहारिक मराठी - स्नेहल तावरे ०३. व्यावहारिक मराठी - डाॅ.प्रकाश परब ०४. भाषांतर चिकित्सा - मधुकर मोकाशी ०५. भाषासंवाद - डाॅ.अनिल गवळी, डाॅ.नंदकुमार मोरे ०६. उपयोजित मराठी - प्रभाकर जोशी, किशोर पाटील ०७. उपयोजित मराठी (गं.ना.जोगळेकर कृतज्ञता ग्रंथ - संपा.केतकी मोडक व इतर ०८. उपयोजित मराठी व प्रसारमाध्यमांची कार्यशैली - डाॅ.संदीप सांगळे ०९. उपयोजित मराठी - लेखन व संवाद कौशल्यांचा परिचय - प्रा.सत्यजित साळवे,प्रा.दीपक पवार १०. उपयोजित मराठी आणि प्रसारमाध्यमे - संदीप सांगळे

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11. Chitre Dilip, Anathology of Marathi Poetry, Nirmala Sadanand Pub., Bombay 1967 The faculty can select/recommend few more reference books. Sanskrit

- 1. Arthashastra of Kautiliya
- 2. Bhagavad-Geeta
- 3. Ramayana/ Ayurved subhashitas
- 4. Mahabharata Vidurniti
- 5. Subhashita
- 6. Stotra / General Information of Sanskrit Literature

The faculty can select/recommend few more reference books.

Hindi

- 1. Sharma, S.N., Hindi Grammer and Translation
- 2. Government of India (Ministry of Education), Technical Terms in Hindi For Secondary Schools Mathematics, Albion Press, India 1955
- 3. Narayangaonkar, Deepak. Sabse Nyara Desh Hamara: Patriotic Hindi Songs.
- 4. Reserve Bank of India. Reserve Bank of India Annual Report 2007-08 with Hindi Sanskaran
- 5. Maharashtra Rashtrbhasha Sabha. Kahaniyaa:3

The faculty can select/recommend a few more reference books.

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Syllabus of courses of SY B. F.M. Programme (With effect from the Academic Year 2024-2025) 5.C Field Project/Apprenticeship/Community Engagement &Services) 5.C.a Project Work (2 Credits)Semester III

5.C Field	5.C Field Project/Apprenticeship/Community Engagement &Services)		
5.C.a Pro	oject Work (2 Credits)		
	Course Objectives and Course Outcomes		
	Course Objectives		
CObj 1	To provide students with the essential knowledge and skills required to conduct effective research.		
CObj 2	To cover the aspects of research methodologies, data analysis techniques, and practical applications.		
CObj 3	To enable the learners to critically evaluate financial information		
	Course Outcomes		
COut 1	The learners are able to make informed decisions		
COut 2	The learner is able to understand the various aspects of Financial Research		
COut 3	The learner understands the difference between Financial Market Efficiency and Anomalies		
COut 4	The learner understands the role of behavioral finance in Research analysis		
COut 5	The learners learn to use statistical tools in PowerPoint presentations,		
COut 6	The learner is able to understand the practical applications.		
COut 7	Learners are able to demonstrate Practical application of preparing flyers and leaflets help the learners demonstrate their creativity.		
COut 8	Learners demonstrate Listening, Comprehension, Speaking Skills. Nonverbal communication skills of learners are enhanced.		
COut 9	The learner is able to understand data analysis techniques		
COut 10	Learners are able to analyse texts from the fields of Finance and Management		

Project work (2 credits)

The learner would need to submit a project based on Internship and a project based on a Research topic forsemester III and IV.

The learner submitting the project work for internship could do the same in semester III and the project workbased on a topic could be submitted in semester IV or vice versa.

The learner could select the order of submission for semester III and IV.

The submissions would be subjected to plagiarism and the report of the same to be attached at the end of the project The learner will be required to fulfil the following requirements to be able to submit the project work based on

- Internship:
- Minimum 30 working days/150 hours of internship with an Organisation/ NGO
- Details of the Organisation/ NGO:
 - i) Why it was selected- objective/s
- ii) Work Culture
- iii) Type of the Organisation
- iv) Organisation Structure
- v) Types of product & Service offered by the Organisation
- Learning Objectives

Work done by the student

Department, product/s handled, Department visited & his/her observation about those department.

Learner's experience and whether he/she feels that the objective/s have been achieved.

Note: for internship

• Experience certificate/ Internship completion certificate to be attached

Evaluation:

Viva Voce	Marks
External guide	30
Internal guide	20
Total	50

A Power Point presentation should be prepared showcasing the highlights of the project.

- **The learner will be required to submit a Research topic-based Project following thebelow mentioned guidelines:**
- Introduction:
- Why the Organisation was selected, objective of study, scope and limitations
- Literature Overview
- Research Methodology:
- i) Primary- Sample 40-50 respondents
- ii) Secondary
- iii) Hypothesis
- iv) Questionnaire
- v) Statistical tools & Techniques
- Classification & Tabulation of Data
- Analysis & Interpretation of Data
- Conclusions & RecommendationsEvaluation:

Viva Voce	Marks
External guide	30
Internal guide	20
Total	50

A Power Point presentation should be prepared showcasing the highlights of the project

Syllabus of courses of SYBFM Programme (With effect from the Academic Year 2024-2025) 1. Major (1.A Financial Markets VIII)

1.A.a. Equity Markets -II (3 Credits)Semester IV

	1.Major		
1.A Fina	ncial Markets VIII		
1.A.a Eq	uity Markets –II		
	Course Objectives and Course Outcomes		
	Course Objectives		
CObj 1	To impart knowledge relating to development in the Indian Equity Market.		
CObj 2	This paper will enable the students to understand the valuation of securities and securities analysis		
CObj 3	To help them in understanding statistical analysis of share price movement		
CObj 4	To get acquainted with the knowledge of dealings in stock market		
Course (Dutcomes		
COut 1	Practical exposure helps the students to understand the functioning of the Stockmarket, players of equity market and valuation.		
COut 2	It helps in the learning of the analysis of different investing opportunities in equitymarket.		
COut 3	It provides a new career opportunity for the students in financial market		
COut 4	Understanding of the Different types of share price movements.		
COut5	Learner is able to explain the meaning and features of financial regulators in Indiasuch as the Reserve Bank of India (RBI), Securities and Exchange Board of India (SEBI), and Insurance Regulatory and Development Authority of India (IRDAI).		
COut 6	Learner understands the Role and Functions of the financial regulators.		
COut 7	Learner understands the meaning and classification of various financial instruments.		
COut 8	Learner understands evaluation and characteristics of financial instruments and new financial instruments.		
COut 9	Evaluate and compare different financial products available in India including their features, risks, and benefits.		
COut10	Analyze the interdependencies and interactions between various components of the Indian financial system.		

Modules at a Glance		
Equity Markets -II		
Sr. No.	Modules	No. of Lectures
1	Developments in the Indian Equity Market	15
2	Security Analysis & Valuation of Securities	15
3	Statistical Analysis of Share price movement and Dealings in Stock Exchanges	15
	Total No. of Lectures:	45

Sr. No.	Modules	
1	Developments Equity Market in the Indian	
	Domestic savings & investments Disinvestments, FDI & Foreign Institution Investment Role of Retail Investors Share Price & Share Price Volatility Role of SEBI	
2	Security Analysis & Valuation of Securities	
	Factors affecting Share Prices Fundamental Analysis in detail. Technical Analysis in detail Macro-Economic factors Market related factors	
3	Statistical Analysis of Share price movement and Dealings in StockExchanges	
	Efficient Market Hypothesis Stochastic Models Brownian Motion Role of Brokers Stock Market Quotations Procedure for buying & selling BOLT - On Line Trading/ NEAT System Clearing & Settlement	

<u>Financial Markets VIII</u> Equity Markets -II

Question Paper Pattern (Academic Year: 2024-25)

Internal Examination & Semester End Examination – 100 Marks

A] Internals-40 Marks

Method of evaluation	Total marks
Assignment	20
Case study analysis/ Project	20
TOTAL	40

B] Semester End Examination (SEE)- 60 Marks

Maximum Marks	60
Duration	: 2 Hours

Question No.	Particulars (Nature of Questions)	Marks (Given)	Marks(To Be Attempted)
Q-1	Answer the following: Any 4		
	A. Theory/Concept-based question	5	
	B. Theory/Concept-based question	5	
	C. Theory/Concept-based question	5	20
	D. Theory/Concept-based question	5	
	E. Theory/Concept-based question	5	
Q-2	Answer the following: Any 4		
	A. Theory/Concept-based question	5	
	B. Theory/Concept-based question	5	
	C. Theory/Concept-based question	5	20
	D. Theory/Concept-based question	5	
	E. Theory/Concept-based question	5	
Q-3	Answer the following: Any 4		
	A. Theory/Concept-based question	5	
	B. Theory/Concept-based question	5	
	C. Theory/Concept-based question	5	20
	D. Theory/Concept-based question	5	
	E. Theory/Concept-based question	5	
	Total		60

Reference Books (with Chapters):

- Allen, Larry (1750-2000). The Global Financial System.
- Ian H. Giddy (1994). Global Financial Markets. Houghton Mifflin.
- Saunders, Anthony & Cornett, Marica Millon. Financial markets & institutions: Amodern perspective: TMIT
- LM Bhole. Financial institutions & markets: Structure, growth & innovations. TMH(5thed.)
- Chandra, P. (2011) Corporate Valuation and Value Creation, (1st ed). TMH

Syllabus of courses of SYBFM Programme (With effect from the Academic Year 2024-2025) 1. Major (1.A Financial Markets IX)

1.Major **1.A Financial Markets IX** 1.A.b Debt Markets -II **Course Objectives and Course Outcomes Course Objectives** CObj 1 To impart knowledge relating to Fixed Income Mathematics. CObj 2 This paper will enable the students to understand the valuation of debt instruments, bonds, prices of bond and interest rates CObj 3 To help them in tracking bond markets and other fixed income markets To get acquainted with the knowledge of bond portfolio management strategies CObj 4 **Course Outcomes** COut 1 Bond markets tracking exposure helps the students to understand theeconomic indicators, Monetary policy and Currency valuation. COut 2 It helps in the learning of the role of debt markets then and now COut 3 It provides a new career opportunity for the students in portfolio management COut 4 Understanding of the Different types of bond management strategies. COut5 Learner is able to explain the meaning and features of financial regulators in India such as the Reserve Bank of India (RBI), Securities and Exchange Board of India(SEBI), and Insurance Regulatory and Development Authority of India (IRDAI). COut 6 Learner understands the Role and Functions of the financial regulators. COut 7 Learner understands the meaning and classification of various financial instruments. Learner understands evaluation and characteristics of financial instruments and new COut 8 financial instruments. COut 9 Evaluate and compare different financial products available in India including their features, risks, and benefits. COut10 Analyze the interdependencies and interactions between various components of the Indian financial system.

1.A.b. Debt Markets -II (3 Credits)Semester IV

Debt Markets -II				
Sr. No.	Sr. No. Modules No. of Lectures			
1	Basic concepts and Fixed Income Mathematics	15		
2	Valuation of Bonds and Debt instruments.	15		
3	Tracking Fixed Income Markets and Portfolio Management	15		
	Total No. of Lectures: 45			

Sr. No.	Modules	
1	Basic concepts and Fixed Income Mathematics	
	Introduction to fixed income pricing Yield curve, Bond mathematics Duration and Convexity Duration - including modified and Maculay Duration, Convexity	
2	Valuation of Bonds and Debt instruments.	
	Valuation of simple debt instruments, Valuation of bonds, Bond prices andinterest rates Valuation of complex debt instruments Valuation of convertible bonds, Valuation of options, Black Scholes option pricing models, Introduction to the Greeks Exotic debt instruments MBS, CDS, Principal protected ELD, CDO	
3	Tracking Fixed Income Markets and Portfolio Management	
	Tracking bond markets The bond traders arsenal of economic indicators, Inflation, Monetary policy, Government deficits, Currency valuation, The subprime crisis and the role of debtmarkets in the crisis – Then and now Bond portfolio management strategies Meaning, Types of bond management strategy, Active, passive, neutral strategies	

Modules at a Glance

<u>Financial Markets IX</u> Debt Markets -II

Question Paper Pattern (Academic Year: 2024-25)

Internal Examination & Semester End Examination – 100 Marks

A] Internals-40 Marks

Method of evaluation	Total marks
Assignment	20
Case study analysis/ Project	20
TOTAL	40

B] Semester End Examination (SEE)- 60 Marks

Maximum Marks	60
Duration	: 2 Hours

Question No.	Particulars (Nature of Questions)	Marks (Given)	Marks(To Be Attempted)
Q-1	Answer the following: Any 4		
	A. Theory/Concept-based question	5	
	B. Theory/Concept-based question	5	
	C. Theory/Concept-based question	5	20
	D. Theory/Concept-based question	5	
	E. Theory/Concept-based question	5	
Q-2	Answer the following: Any 4		
	A. Theory/Concept-based question	5	
	B. Theory/Concept-based question	5	
	C. Theory/Concept-based question	5	20
	D. Theory/Concept-based question	5	
	E. Theory/Concept-based question	5	
Q-3	Answer the following: Any 4		
	A. Theory/Concept-based question	5	
	B. Theory/Concept-based question	5	
	C. Theory/Concept-based question	5	20
	D. Theory/Concept-based question	5	
	E. Theory/Concept-based question	5	
	Total		60

Reference Books (with Chapters):

- Allen, Larry (1750-2000). The Global Financial System.
- Ian H. Giddy (1994). Global Financial Markets. Houghton Mifflin.
- Saunders, Anthony & Cornett, Marica Millon. Financial markets & institutions: Amodern perspective: TMIT
- LM Bhole. Financial institutions & markets: Structure, growth & innovations. TMH(5thed.)
- Chandra, P. (2011) Corporate Valuation and Value Creation, (1st ed). TMH

Syllabus of courses of SYBFM Programme (With effect from the Academic Year 2024-2025 1. Major (1.A Financial Markets X)

1.A.c. Commodity Markets -II (3 Credits)Semester III

	1.Major		
1.A Fina	ncial Markets X		
1.A.c. Co	ommodity Markets -II		
	Course Objectives and Course Outcomes		
	Course Objectives		
CObj 1	To ensure that the learner understand show to hedge his risks, diversifying hisportfolio.		
CObj 2	To enable the learner to understand global diversification and hedging against inflation and deflation		
CObj 3	To understand complex financial instruments that are used for various purposes, includinghedging		
CObj 4	To enable the learner understand the concepts of Options and Option Pricing Models		
Course (Dutcomes		
COut 1	The student learns concepts related to Commodities and Derivatives market.		
COut 2	The leaner is acquainted with the trading, clearing and settlement mechanismin derivatives Market		
COut 3	The leaner can relate to orders and clearing process		
COut 4	The learner is aware of various Risk Management measures		
COut5	Learner is able to explain the meaning and features of financial regulators in Indiasuch as the Reserve Bank of India (RBI), Securities and Exchange Board of India (SEBI), and Insurance Regulatory and Development Authority of India (IRDAI).		
COut 6	Learner understands the Role and Functions of the financial regulators.		
COut 7	Learner understands the meaning and classification of various financial instruments.		
COut 8	Learner understands evaluation and characteristics of financial instruments and new financial instruments.		
COut 9	Evaluate and compare different financial products available in India including their features, risks, and benefits.		
COut10	Analyze the interdependencies and interactions between various components of the Indian financial system.		

Commodity Markets –II				
Sr. No.	Sr. No. Modules No. of Lectures			
1	Futures and Hedging	15		
2	Options and Option Pricing Models	15		
3	Trading, Clearing & Settlement In Derivatives Market and Types of Risk	15		
	Total No. of Lectures:	45		

Sr. No.	Modules	
1	Futures and Hedging	
	Futures: Futures Contract Specification, Terminologies, Concept of Convergence, Relationship between Futures Price & Expected SpotPrice, Basis & Basis Risk Pricing of Futures Contract, Cost of Carry Model Hedging: Speculation & Arbitrage using Futures, Long Hedge – Short Hedge, Cash & Carry Arbitrage, Reverse Cash & Carry Arbitrage, Payoff Charts & Diagrams for Futures Contract, Perfect & Imperfect Hedge	
2	Options and Option Pricing Models	
	Options: Options Contract Specifications, Terminologies, Call Option, Put Option, Difference between Futures & Options, Trading of Options, Valuation of Options Contract, Factors affecting Option Premium, Payoff Charts& Diagramsfor Options Contract Basic Understanding of Option Strategies Options Pricing Models Binomial Option Pricing Model, Black - Scholes Option PricingModel	
3	Trading, Clearing & Settlement In Derivatives Marketand Types of Risk	
	Trading, Clearing & Settlement In Derivatives Market: Meaning and Concept, SEBI Guidelines, Trading Mechanism – Types of Orders, Clearing Mechanism – NSCCL – its Objectives & Functions, Settlement Mechanism – Types of Settlement Types of Risk: Value at Risk, Methods of calculating VaR, Risk Management Measures, Typesof Margins, SPAN Margin	

Financial Markets X Commodity Markets -II Question Paper Pattern (Academic Year: 2024-25)

Internal Examination & Semester End Examination – 100 Marks

A] Internals-40 Marks

Method of evaluation	Total marks
Assignment	20
Case study analysis/ Project	20
ТОТАЬ	40

B] Semester End Examination (SEE)- 60 Marks

Maximum Marks	60
Duration	: 2 Hours

Question No.	Particulars (Nature of Questions)	Marks (Given)	Marks(To Be Attempted)
Q-1	Answer the following: Any 4		
	A. Theory/Concept-based question	5	
	B. Theory/Concept-based question	5	
	C. Theory/Concept-based question	5	20
	D. Theory/Concept-based question	5	
	E. Theory/Concept-based question	5	
Q-2	Answer the following: Any 4		
	A. Theory/Concept-based question	5	
	B. Theory/Concept-based question	5	
	C. Theory/Concept-based question	5	20
	D. Theory/Concept-based question	5	
	E. Theory/Concept-based question	5	
Q-3	Answer the following: Any 4		
	A. Theory/Concept-based question	5	
	B. Theory/Concept-based question	5	
	C. Theory/Concept-based question	5	20
	D. Theory/Concept-based question	5	
	E. Theory/Concept-based question	5	
	Tota		60

Reference Books (with Chapters):

- John C. Hull & Basu Futures, options & other derivatives
- Robert McDonald, Derivatives market, Pearson education
- John Hull, Fundamentals of futures & options
- Ankit Gala & Jitendra Gala, Guide to Indian Commodity market, Buzzingstock publishing house
- K.Sasidharan& Alex K. Mathews, Option trading bull market strategies, McGraw Hill publication
- NitiChatnani, Commodity markets, McGraw Hill Publication
- S.Kevin, Commodities & financial derivatives, PHI learning Pvt ltd
- Suni K Parmeswaran, Futures & options, McGraw Hill

Syllabus of courses of SYBFM Programme (With effect from the Academic Year 2024-2025) 2. Minor (Allied Course IV)

2.Minor 2.A Banking Law And Practice 2.A. a. Banking Law And Practice **Course Objectives and Course Outcomes Course Objectives** To enable learners to understand the legal framework within which the Banking CObj 1 industry operates in India. CObj 2 To ensure that learners understand the relationship between banker and customer from a legal point of view. CObj 3 To understand various laws and enactments affecting day to day operations of banks. CObj 4 To become aware of the principles of lending in banking sector **Course Outcomes** COut 1 Students will be able to understand the theoretical framework of banking regulations in India. COut 2 Students will be able to explore the legal relationship between Banker and customer COut 3 Students will be able to understand different banking terminologies and their legalrelevance in Banks's day to day operations COut 4 Students will be able to explore how the lending decisions are taken in the banks andvarious terminologies used in lending COut5 Learner is able to explain the meaning and features of financial regulators in India such as the Reserve Bank of India (RBI), Securities and Exchange Board of India(SEBI), and Insurance Regulatory and Development Authority of India (IRDAI). COut 6 Learner understands the Role and Functions of the financial regulators. COut 7 Learner understands the meaning and classification of various financial instruments. COut 8 Learner understands evaluation and characteristics of financial instruments and new financial instruments. COut 9 Evaluate and compare different financial products available in India including their features, risks, and benefits. COut10 Analyze the interdependencies and interactions between various components of the Indian financial system.

2.A.a. Banking Law and Practice (3 Credits)Semester IV

Modules at a Glance				
Banking Law And Practice				
Sr. No.	Sr. No. Modules No. of Lectures			
1	Legal Framework of Regulation of Banks	15		
2	Banker and Customer Relationship	15		
3	Principles of Bank Lending and Banking Operations	15		
	Total No. of Lectures: 45			

Sr. No.	Modules		
1	Legal Framework of Regulation of Banks		
	 Legal Framework of Regulation of Banks Business of Banking- Constitution of Banks; RBI Act, 1934; Banking Regulation Act 1949; Role of RBI; Govt. as a Regulator of Banks; Control over Cooperative Banks Regulation by other Authorities Regulation of Banking Business- Power of RBI to Issue Directions; Acceptance of Deposits; Nomination; Loans and Advances; Regulation of Interest Rate; Regulation of Payment Systems; Internet Banking Guidelines; Regulation of Money Marka Instruments; Banking Ombudsman; Reserve Funds; Maintenance of CRR, SLR; Asse in India Recent Legislative Changes in RBI Act and Banking Regulation Act- Recent Legislative Changes in RBI Act andBanking Regulation Act, Needthereof, New Bank LicensingPolicy, 2013 Types of Commercial Banks- Public Banks, Private Banks, Foreign Banks, Co-operative Banks, Payment Banks, Small Finance Banks 		
2	Banker and Customer Relationship		
	Banker and Customer: Meaning of Banker and Customer – Banking Company – General and Special Relationships between Banker and Customer. Types of Customers and Account holders: Procedure and Practice in opening and conducting the accounts of customers particularly individuals including minors - Joint Account Holders. Partnership Firms - Joint Stock companies with limited liability- Executors and Trustees-Clubs and Associations-Joint Hindu Family		
3	Principles of Bank Lending and Banking Operations		

Indemnities- Definition of Contract of Indemnity; Features of Indemnity Contract & Guarantee; Scope and Application of Indemnity Contracts to Banks; Obligations of a Banker; Precaution & Rights of an Indemnity Holder Bank Guarantees- Definition and Types of Bank Guarantees; Banker's Duty to Honour Guarantee; Precautions to be taken for Issuance of Bank Guarantee; Precautions to be taken for Payment under Bank Guarantee; Invocation & Enforcement. Letters of Credit- General Considerations of Letters of credit; Parties to a Letter of credit; Types of Letters of credit; Documents under a Letter of credit; UCPDC 600; Banks obligation for payment of Letter of credit Laws Relating to Securities and Modes of Charging- Mortgage; Types of Mortgage; Enforcement of Mortgages, Lien; Pledge; Hypothecation; etc. Legal aspects of a Cheque- Definition of a cheque, different types of cheques, crossing of a cheque, payment of cheque crossedgenerally or specially, cheque bearing "Not Negotiable", double crossing Legal provisions regarding endorsements- General rules regarding the form of endorsements **Principles of Bank Lending** Different kinds of borrowing facilities granted by banks - Loans, Cash Credit, Overdraft, Bills Purchased, Bills Discounted, Letters of Credit - Types of Securities – NPA

Banking Law And Practice Question Paper Pattern (Academic Year: 2024-25)

Internal Examination & Semester End Examination – 100 Marks

A] Internals-40 Marks

Method of evaluation	Total marks
Assignment	20
Case study analysis/ Project	20
TOTAL	40

B] Semester End Examination (SEE)- 60 Marks

Maximum Marks	60
Duration	: 2 Hours

Question No.	Particulars (Nature of Questions)	Marks (Given)	Marks(To Be Attempted)
Q-1	Answer the following: Any 4		
	A. Theory/Concept-based question	5	
	B. Theory/Concept-based question	5	
	C. Theory/Concept-based question	5	20
	D. Theory/Concept-based question	5	
	E. Theory/Concept-based question	5	
Q-2	Answer the following: Any 4		
	A. Theory/Concept-based question	5	
	B. Theory/Concept-based question	5	
	C. Theory/Concept-based question	5	20
	D. Theory/Concept-based question	5	
	E. Theory/Concept-based question	5	
Q-3	Answer the following: Any 4		
	A. Theory/Concept-based question	5	
	B. Theory/Concept-based question	5	
	C. Theory/Concept-based question	5	20
	D. Theory/Concept-based question	5	
	E. Theory/Concept-based question	5	
	Total		60

Reference Books (with Chapters):

- Tannan M.L: Banking Law and Practice in India.
- Sheldon H.P: Practice and Law of Banking.
- Kothari N. M: Law and Practice of Banking.
- Maheshwari. S.N.: Banking Law and Practice
- Gordon & Natarajan: Banking Theory Law and Practice, HPH.
- Maheshwari. S.N.: Banking Law and Practice, Kalyani Publisher
- Gagendra Naidu, S. K. Poddar, Law and Practice of Banking, VBH.
- M. Prakash Banking Regulation & Operations, VBH.
- P.SubbaRao ; Bank Management, HPH.
- V. Iyengar; Introduction to Banking, Excel Books

Syllabus of courses of SY B.F.M. Programme (With effect from the Academic Year 2024-2025) 3. General / Open Electives

3 A. General Electives (GE) / Open Electives (OE)3.A.a.Digitalization of Capital Markets(3 Credits) Semester IV

3. Genera	3. General /Open Electives		
	General Electives (GE)/ Open Elective (OE)		
3.A.a Dig	3.A.a Digitalization of Capital Markets		
	Course Objectives and Course Outcomes		
	Course Objectives		
CObj 1	To enable learners to examine the impact of digitization on traditional capital market structures.		
CObj 2	To create awareness about regulatory challenges and emerging trends in the financial industry.		
CObj 3	To enable learners to get familiar with digital securities and tokenization		
	Course Outcomes		
COut 1	The learner learns about digital transformation of capital markets.		
COut 2	The learner is able to understand the integration of technology in financialinstruments, trading platforms, and investment strategies.		
COut 3	The learner understands the basics of digitalization in finance.		
COut 4	The learner understands the relationship between Fintech and its Impact.		
COut 5	There is awareness of the Regulatory framework and its challenges.		
COut 6	The learner is familiar with the digital concepts of money markets.		
COut 7	There is better understanding of the framework of capital market digitalization.		
COut 8	The learner is aware of the tools and methodology involved in digitalization concepts.		
COut 9	There is greater level of financial literacy.		
COut 10	The learner is able to take informed investment decisions		

Digitalization of Capital Markets		
Sr. No.	Modules	No. of Lectures
1	Fundamentals of Capital Markets in the Digital Age	15
2	Digital Securities and Tokenization	15
3	Algorithmic Trading and Quantitative Finance	15
	Total No. of Lectures:	45

Modules at a Glance

Sr. No.	Modules		
1	Fundamentals of Capital Markets in the Digital Age		
	Overview of Capital Markets		
	Role of Technology in Capital Markets		
	Market Infrastructure and Digital Platforms		
	Regulatory Landscape in Digital Capital Markets		
2	Digital Securities and Tokenization		
	Introduction to Digital Securities		
	Tokenization of Assets		
	Security Token Offerings (STOs)		
	Legal and Regulatory Considerations in Tokenized Assets		
3	Algorithmic Trading and Quantitative Finance		
	Algorithmic Trading Strategies		
	Machine Learning in Capital Markets		
	High-Frequency Trading		
	Risks and Ethical Implications of Algorithmic Trading		

Digitalization of Capital Markets

Question Paper Pattern (Academic Year: 2024-25)

Internal Examination & Semester End Examination – 40 Marks

A] Internals-40 Marks

Method of evaluation	Total marks
Practical Work	20
Assignment	20
TOTAL	40

B] Semester End Examination (SEE)- 60 Marks

Maximum Marks	60
Duration	: 2 Hours

Question No.	Particulars (Nature of Questions)	Marks (Given)	Marks(To Be Attempted)
Q-1	Answer the following: Any 4		
	A. Theory/Concept-based question	5	
	B. Theory/Concept-based question	5	
	C. Theory/Concept-based question	5	20
	D. Theory/Concept-based question	5	
	E. Theory/Concept-based question	5	
Q-2	Answer the following: Any 4		
	A. Theory/Concept-based question	5	
	B. Theory/Concept-based question	5	
	C. Theory/Concept-based question	5	20
	D. Theory/Concept-based question	5	
	E. Theory/Concept-based question	5	
Q-3	Answer the following: Any 4		
	A. Theory/Concept-based question	5	
	B. Theory/Concept-based question	5	
	C. Theory/Concept-based question	5	20
	D. Theory/Concept-based question	5	
	E. Theory/Concept-based question	5	
	Tot	al	60

<u>References Books:</u>

- "Flash Boys: A Wall Street Revolt" by Michael Lewis
- "Digital Asset Markets: The Definitive Guide to Cryptocurrencies" by Antony Lewis
- "The FinTech Book: The Financial Technology Handbook for Investors, Entrepreneurs and Visionaries" by Susanne Chishti and Janos Barberis
- "Quantitative Finance For Dummies" by Steve Bell
- "Capital Markets: Institutions, Instruments, and Risk Management" by Frank J. Fabozzi

Syllabus of courses of SY B.F.M. Programme (With effect from the Academic Year 2024-2025) 3. General / Open Electives 3 A. General Electives (GE) / Open Electives (OE)

3.A.a. Family Business Enterprise (3 Credits)Semester IV

3. Genera	3. General /Open Electives		
3.A. Gen	3.A. General Electives (GE)/ Open Elective (OE)		
	3.Aa. Family Business Enterprise		
	Course Objectives and Course Outcomes		
	Course Objectives		
CObj 1	To equip students with the knowledge and skills to effectively manage and lead family businesses in a global context.		
CObj 2	To develop critical thinking and decision-making skills to navigate the uniquechallenges and opportunities of family-owned enterprises.		
CObj 3	To gain understanding of the complex dynamics between family relationships and business operations in a globalizing world.		
CObj 4	To strategically expand family businesses into new markets and achieve sustainable growth.		
	Course Outcomes		
COut 1	Analyze the distinctive characteristics, strengths, and challenges of family businesses compared to non-family-owned enterprises.		
COut 2	Evaluate and recommend appropriate governance structures for family businesses toensure effective decision-making and succession planning.		
COut 3	Develop strategies for managing interpersonal dynamics within the family context and minimizing conflict in business operations.		
COut 4	Conduct market research and assess national expansion opportunities for family businesses, considering legal and regulatory complexities.		
COut 5	Design and implement effective succession planning strategies for leadership transition and talent development within the family.		
COut 6	Manage the financial aspects of family businesses, including capital structure, risk management, and financial performance analysis.		
COut 7	Critically evaluate the feasibility and potential challenges of internationalizing family businesses.		
COut 8	Develop cross-cultural competence to navigate diverse business environments and effectively communicate in an international setting.		
COut 9	Identify and manage risks associated with global operations, including currency fluctuations, political instability, and cultural differences.		
COut 10	Evaluate and leverage strategic alliances and partnerships to facilitate international expansion and mitigate market entry risks.		

Family Business Enterprise		
Sr. No.	Modules	No. of Lectures
1	Foundations of Family Business Management	15
2	National Expansion Strategies for Family Businesses	15
3	Internationalization of Family Businesses	15
	Total No. of Lectures:	

Sr. No.	Modules
1	Foundations of Family Business Management
	Overview of Family Business: Understanding the unique characteristics, strengths, and challenges of family-owned enterprises. Governance Structures: Examining various governance models for familybusinesses and their impact on decision-making and succession planning. Family Dynamics: Analyzing the intersection of family relationships and business operations, including communication, conflict resolution, and therole of emotions in decision-making.
2	National Expansion Strategies for Family Businesses
	Market Analysis: Conducting market research to identify opportunities andchallenges for family businesses within the national context. Succession Planning: Developing effective strategies for leadership transition and talent development within the family, ensuring continuity andsustainability. Legal and Regulatory Considerations: Understanding the legal and regulatory landscape for family businesses, including tax implications, employment laws, and compliance requirements. Financial Management: Implementing financial strategies tailored to familybusinesses, including capital structure, risk management, and financial performance analysis.
3	Internationalization of Family Businesses

Global Market Entry: Assessing the challenges and opportunities of expanding family businesses into international markets, considering cultural, economic, and political factors.
Cross-Cultural Management: Developing cross-cultural competence to navigate diverse business environments and foster effective communicationin an international setting.
Risk Management in Global Operations: Identifying and managing risksassociated with international business, including currency fluctuations, geopolitical issues, and cultural misunderstandings.
Strategic Alliances and Partnerships: Exploring collaborative strategies such as joint ventures and partnerships to facilitate international growth andmitigate market entry risks.

Family Business Enterprise

Question Paper Pattern (Academic Year: 2024-25)

Internal Examination & Semester End Examination – 100 Marks

A] Internals-40 Marks

Method of evaluation	Total marks
Assignment/ Project/ Case study / PowerPoint presentation	20
Report on guest lecture/ activity	20
TOTAL	40

B] Semester End Examination (SEE)- 60 Marks

Maximum Marks Duration 60 : 2 Hours

Question No.	Particulars (Nature of Questions)	Marks (Given)	Marks(To Be Attempted)
Q-1	Answer the following: Any 4		
	A. Theory/Concept-based question	5	
	B. Theory/Concept-based question	5	
	C. Theory/Concept-based question	5	20
	D. Theory/Concept-based question	5	
	E. Theory/Concept-based question	5	
Q-2	Answer the following: Any 4		
	A. Theory/Concept-based question	5	
	B. Theory/Concept-based question	5	
	C. Theory/Concept-based question	5	20
	D. Theory/Concept-based question	5	
	E. Theory/Concept-based question	5	
Q-3	Answer the following: Any 4		
	A. Theory/Concept-based question	5	
	B. Theory/Concept-based question	5	
	C. Theory/Concept-based question	5	20
	D. Theory/Concept-based question	5	
	E. Theory/Concept-based question	5	
	Total		60

Reference Books:

- "Family Business: Innovative On-Site Strategies for Sustaining Competitive Advantage" by Craig E.Aronoff, John L. Ward, and Stephen L. McClure
- "Family Business Succession: Your Roadmap to Continuity" by Daniela Montemerlo
- "Family Wars: Classic Conflicts in Family Business and How to Deal with Them" by Grant Gordonand Nigel Nicholson:

Syllabus of courses of SY B.F.M. Programme (With effect from the Academic Year 2024-2025) 3. General / Open Electives 3 A. General Electives (GE) / Open Electives (OE) 3.A.a. Robotic Process Automation (3 Credits)Semester IV

3. Gener	3. General /Open Electives		
	3.A.General Electives (GE)/ Open Elective (OE)		
3.A.a. R	obotic Process Automation		
	Course Objectives and Course Outcomes		
	Course Objectives		
CObj 1	To understand foundations of Robotic Process Automation		
CObj 2	To introduce the platform interface of UiPath Studio and design workflow		
CObj 3	To explore different RPA strategies		
CObj 4	To evaluate and compare different RPA tools		
	Course Outcomes		
COut 1	Learners will be able to clearly define RPA, explain its benefits and limitationscompared to traditional automation.		
COut 2	Learners will be able to navigate the UiPath Studio interface, build workflows usingcontrol flow activities and various techniques.		
COut 3	Learners will understand RPA project management best practices.		
COut 4	Learners will be able to analyze features and functionalities of different RPA tools.		
COut 5	Learners will gain practical experience by developing and implementing RPA solutions for real-world scenarios.		

Modules at a Glance

Robotic Process Automation		
Sr. No.	Modules	No. of Lectures
1	Introduction of RPA and UiPath Studio	15
2	Building Workflows	15
3	Strategies and Other RPA Tools	15
	Total No. of Lectures:	45

Sr. No.	Modules	
1	Introduction of RPA and UiPath Studio	
	Basics of RPA, Benefits, Limitations, and comparison to traditional automation, Application of RPA, UiPath Studio Interface Overview, Basic Navigations and workspace components, Data types, Storing information and basic operations, Recording and Playback for User Actions,	
2	Building Workflows	
	Control Flow Activities, Screen Scraping and Data Extraction, Data Entry and Form Filing, Accessing Excel, Manipulating Data in Excel, Error handling and Debugging, Sharing and Exporting Workflows	
3	Strategies and Other RPA Tools	
	RPA Project Management, Security and Ethical Automation Practices in RPA, Orchestrating Robots, Other Tools for RPA Implementation, Choosing the rightRPA Tool	

Robotic Process Automation

Question Paper Pattern (Academic Year: 2024-25)

Internal Examination & Semester End Examination – 40 Marks

A] Internals-40 Marks

A Certified copy journal is essential to appear for the practical examination.

Sr.No.	Particulars	Marks
1.	Practical Work	20
2.	Journal	10
3.	Viva Voce	10
	Total	40

	Practical Work (20 Marks)
1	Install and Explore interface of UiPath Studio
2	Automate Data Entry in Web Form
3	Automate Sending Email Notifications
4	Extract data from Spreadsheets
5	Extract data from PDFs
6	Rename downloaded files
7	Data Cleaning and Validation
8	Download Files from a Website
9	Searching data over the internet and creating a Comparison Table
10	Connect with External APIs

B] Semester End Examination (SEE)- 60 Marks

Maximum Marks	60
Duration	: 2 Hours

Question No.	Particulars (Nature of Questions)	Marks (Given)	Marks(To Be Attempted)
Q-1	Answer the following: Any 4		
	F. Theory/Concept-based question	5	
	G. Theory/Concept-based question	5	
	H. Theory/Concept-based question	5	20
	I. Theory/Concept-based question	5	
	J. Theory/Concept-based question	5	
Q-2	Answer the following: Any 4		
	F. Theory/Concept-based question	5	
	G. Theory/Concept-based question	5	
	H. Theory/Concept-based question	5	20
	I. Theory/Concept-based question	5	
	J. Theory/Concept-based question	5	
Q-3	Answer the following: Any 4		
	F. Theory/Concept-based question	5	
	G. Theory/Concept-based question	5	
	H. Theory/Concept-based question	5	20
	I. Theory/Concept-based question	5	
	J. Theory/Concept-based question	5	
	Total		60

Bool	ks and References:				
Sr. No.	Title	Author/s	Publisher	Edition	Year
	1 The Robotic Process Automation Handbook A Guide to Implementing RPA Systems	Tom Taulli	Apress	First	2020
	2Robotic Process Automation Management, Technology, Applications	Christian Czarnecki, Peter Fettke	De Gruyter	First	2021
	3UiPath Associate Certification Guide	Niyaz Ahmed, Lahiru Fernando, Rajaneesh Balakrishnan	ıPackt	First	2022

Syllabus of courses of SY B. F.M. Programme (With effect from the Academic Year 2024-2025) 4.B Vocational Skill Course (VSC) 4.B Vocational Skill Course (VSC)

4.B.a Financial Planning II – Mutual Funds (3 Credits)

Semester IV

4.B Vocational Skill Course (VSC) 4.B Vocational Skill Course (VSC) 4.B.a Financial Planning – II Mutual Funds **Course Objectives and Course Outcomes Course Objectives** Basic understanding of how mutual fund works, their role and structure. CObj 1 To understand the channels for mutual fund distribution CObj 2 To get acquainted with financial planning as an approach to investing in mutualfunds CObj 3 To know more about the valuation aspects underlying mutual funds and their CObj 4 Distribution **Course Outcomes** This subject gives an opportunity to students to develop their understanding COut 1 towardsdifferent kinds of mutual fund schemes and their features. It ensures focus on understanding the legalities of Mutual Fund management COut 2 COut 3 It ensures awareness about various Investor Services and the relatedAccountingPractices It ensures learning about creating model mutual fund portfolios COut 4 Learner develops skills in budgeting, tracking expenses, and managing personal cash COut 5 flow effectively. Learners develops skills to evaluate and manage financial risks through appropriate COut 6 insurance coverage and risk management strategies. Learner understands the importance of retirement planning and develop strategies to COut 7 achieve retirement goals. COut 8 Learner understands tax planning strategies to minimize tax liabilities and optimize personal finances. COut 9 Learner understands the basic investor psychology towards personal financial goals. Learner demonstrates knowledge of ethical considerations and professional standards COut10 in personal financial planning.

	Financial Planning – II Mutual Funds		
Sr. No.	Modules	No. of Lectures	
1	Introduction- Distribution and Valuation of MutualFunds	15	
2	Performance evaluation and Scheme Selection	15	
3	Financial Planning and Portfolio Management	15	
	Total No. of Lectures:		

Modules at a Glance

Sr. No.	Modules
1	Introduction- Distribution and Valuation of Mutual Funds
	Concept and role of a mutual fund – Fund Structure and Constituents –Legal aspectsand Regulatory Environment Understanding offer document – Fund Distribution – Channel ManagementPractices – Accounting Valuation – AccountingPractices
2	Performance evaluation and Scheme Selection
	Investor Services Returns types Calculating different types of returns Types of risks Risk Measurement Performance evaluation of mutual Funds (Sharpe, treynor and Jensens measures) Selecting the right mutual fund scheme
3	Financial Planning and Portfolio Management
	Selecting the right products for investors Financial Planning using Mutual FundsRecommending financial plans Creating model mutual fund portfolios

References Books:

- The mutual Fund Industry by R. Glenn Hubbard
- Mutual Funds Ladder to wealth creation by Vivek Negi
- Common sense on Mutual Funds : New Imperatives for the Intelligent InvestorbyJohn C Bogle
- Taxmann's Mutual Fund Distributors

<u>Financial Planning - II</u> <u>Mutual Funds</u>

Question Paper Pattern (Academic Year: 2024-25)

Internal Examination & Semester End Examination – 100 Marks

A] Internals-40 Marks

Method of evaluation	Total marks
Assignment	20
Case study analysis/ Project	20
TOTAL	40

B] Semester End Examination (SEE)- 60 Marks

Maximum Marks	60
Duration	: 2 Hours

Question No.	Particulars (Nature of Questions)	Marks (Given)	Marks(To Be Attempted)
Q-1	Answer the following: Any 4		
	A. Theory/Concept-based question	5	
	B. Theory/Concept-based question	5	
	C. Theory/Concept-based question	5	20
	D. Theory/Concept-based question	5	
	E. Theory/Concept-based question	5	
Q-2	Answer the following: Any 4		
	A. Theory/Concept-based question	5	
	B. Theory/Concept-based question	5	
	C. Theory/Concept-based question	5	20
	D. Theory/Concept-based question	5	
	E. Theory/Concept-based question	5	
Q-3	Answer the following: Any 4		
	A. Theory/Concept-based question	5	
	B. Theory/Concept-based question	5	
	C. Theory/Concept-based question	5	20
	D. Theory/Concept-based question	5	
	E. Theory/Concept-based question	5	
	Total		60

Syllabus of courses of SY B. F.M. Programme (With effect from the Academic Year 2024-2025) 5. Ability Enhancement Courses, Value Enhancement Course, Indian Knowledge System 5.A Ability Enhancement Course (AEC) 5.A.a Marathi/Hindi/Sanskrit (2 Credits)Semester IV

5. Ability Enhancement Courses, Value Enhancement Course, Indian Knowledge System			
5.A Abili	5.A Ability Enhancement Course (AEC)		
5.A.a Marathi/Hindi/Sanskrit (2 Credits)			
	Course Objectives and Course Outcomes		
	Course Objectives		
CObj 1	To create curiosity in the minds of learns about the chosen language		
CObj 2	To help the learners understand the need to learn the chosen language		
CObj 3	To introduce learners to the structure of the chosen language		
CObj 4	To understand the richness of Indian selected languages with reference to consonants and vowels		
CObj 5	To understand unique characteristics of the chosen language		
CObj 6	To understand the use of Gender and Tenses		
CObj 7	To understand the use of Idioms and Phrases		
CObj 8	To know the various Dialects of the chosen language		
CObj 9	To understand the application of technology for communication by alternatively abled		
CObj 10	To understand the need of learning Functional Language		
CObj 11	To get familiarised with the Literature of the chosen language		
CObj12	To get familiarised with the Literature translated to the chosen language from other languages		
CObj 13	To learn to appreciate the other literary forms of the chosen language		
	Course Outcomes		
COut 1	The learner will be curious to learn the chosen language		
COut 2	The learner will be able to understand the need to learn the chosen language		
COut 3	The learner will get familiar with the structure of the chosen language		
COut 4	The learner will understand the richness of Indian selected languages with reference to constants and vowels		
COut 5	The learner will understand unique characteristics of the chosen language		

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COut 6	The learner will understand how to apply knowledge of Gender and Tenses
COut 7	The learner will understand the use of Idioms and Phrases
COut 8	The learner will know the various dialects of the chosen language
COut 9	The learner will understand the application of technology for communication by alternatively abled
COut 10	The learner will understand the need of learning functional language
COut 11	The learner will get familiarised with the Literature of the chosen language
COut 12	The learner will get familiarised with the Literature translated to the chosen language from other languages
COut 13	The learner will learn to appreciate the other literary forms of the chosen language

Modules at a Glance				
	Marathi/Hindi/Sanskrit (2 Credits)			
Sr. No.	Modules	No. of Lectures		
1	Select Studies in Translated Literature	10		
2	Functional and Commercial Language	10		
3	Forms of Literary Expressions	10		
	Total No. of Lectures:	30		

Sr. No.	Modules	
1	Select Studies in Translated Literature	
	Translated Literature and Cultural Exchange Review of Translated Literature using Translated Literary work from another language to chosen language. (The choice of the texts should be made by the learner with due discussion with the faculty). Based on this module, internal evaluation shall be done.	
2	Functional and Commercial Language	
	Newspaper reading of the chosen language in the class along with faculty Watching and understanding News channel of the chosen language Translation of often used words in office circulars and government communications Simple conversations in the chosen language Banking and financial terms in the chosen language	
3	Forms of Literary Expressions	
	Appreciation of select forms of literature o Films o Theatre o Performing Arts o Fine Arts The faculty member shall discuss with the learners about the richness of other forms of Literary expressions in chosen language and learn to appreciate the creativity and presenting the creativity in a lighter form. Also, they are expected to appreciate the cultural dimensions behind it.	

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Marathi/Hindi/Sanskrit (2 Credits)

Question Paper Pattern (AcademicYear: 2024-25)

Internal Examination & Semester End Examination -20 Marks

A] Internals-40 Marks

Method of evaluation	Total marks
The faculty will decide the means of taking internal evaluation. It can be oral quiz, dialogue exchange, role play, reading comprehension, listening comprehension etc.	20
TOTAL	20

B] Semester End Examination (SEE)- 30 Marks External evaluation: Marks: 30 Duration: 1 hours Note: (1) All questions are compulsory

(2) The learners can write answers in the chosen language or in English/Marathi/Hindi

Question No.	Particulars (Nature of question)	Marks
Questions with sub questions	Flexibility is given to the faculty to decide the paper pattern and depending	30
	on leaner's ability will design the question paper. It can contain questions	
	like identifying or changing gender, identifying or changing tenses,	
	making rhythmic words, answer in one sentence etc.	
	Total	30

List of Reference Books: Marathi

स्थुलवाचनाकरिता काही पुस्तके ०१. ओरिगामीची गंमत - अनिल अवचट ०२. गोड गोष्टी भाग १ ते १० - साने गुरुजी ०३. फास्टर फेणे - भा.रा.भागवत ०४. वाचू आनंदे - माधुरी पुरंदरे ०५. आपला भारत - राजा मंगळवेढेकर (या व्यतिरिक्त इतर पुस्तकेही आपण द्यावीत.)

संदर्भ ग्रंथ

०१. व्यावहारिक मराठी - ल.रा.नसिराबादकर ०२. व्यावहारिक मराठी - स्नेहल तावरे ०३. व्यावहारिक मराठी - डाॅ.प्रकाश परब ०४. भाषांतर चिकित्सा - मधुकर मोकाशी ०५. भाषासंवाद - डाॅ.अनिल गवळी, डाॅ.नंदकुमार मोरे ०६. उपयोजित मराठी - प्रभाकर जोशी, किशोर पाटील ०७. उपयोजित मराठी (गं.ना.जोगळेकर कृतज्ञता ग्रंथ - संपा.केतकी मोडक व इतर ०८. उपयोजित मराठी व प्रसारमाध्यमांची कार्यशैली - डाॅ.संदीप सांगळे ०९. उपयोजित मराठी - लेखन व संवाद कौशल्यांचा परिचय - प्रा.सत्यजित साळवे,प्रा.दीपक पवार १०. उपयोजित मराठी आणि प्रसारमाध्यमे - संदीप सांगळे

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11. Chitre Dilip, Anathology of Marathi Poetry, Nirmala Sadanand Pub., Bombay 1967 The faculty can select/recommend few more reference books. Sanskrit

- 7. Arthashastra of Kautiliya
- 8. Bhagavad-Geeta
- 9. Ramayana/ Ayurved subhashitas
- 10. Mahabharata Vidurniti
- 11. Subhashita
- 12. Stotra / General Information of Sanskrit Literature

The faculty can select/recommend few more reference books.

Hindi

- 6. Sharma, S.N., Hindi Grammer and Translation
- 7. Government of India (Ministry of Education), Technical Terms in Hindi For Secondary Schools Mathematics, Albion Press, India 1955
- 8. Narayangaonkar, Deepak. Sabse Nyara Desh Hamara: Patriotic Hindi Songs.
- 9. Reserve Bank of India. Reserve Bank of India Annual Report 2007-08 with Hindi Sanskaran
- 10. Maharashtra Rashtrbhasha Sabha. Kahaniyaa:3

The faculty can select/recommend a few more reference books.

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Syllabus of courses of SY B. F.M. Programme (With effect from the Academic Year 2024-2025) 5. Field Project/Apprenticeship/Community Engagement & Services) 5.C.a Project Work

Based on Risk Management and Financial Services (2 Credits)Semester IV
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6.a Proje	ect Work Based on Risk Management and Financial Services			
(2 Credits)				
	Course Objectives and Course Outcomes			
	Course Objectives			
CObj 1	To provide students with the essential knowledge and skills required to conduct effective research.			
CObj 2	To cover the aspects of research methodologies, data analysis techniques, and practical applications.			
CObj 3	To enable the learners to critically evaluate financial information			
	Course Outcomes			
COut 1	The learners are able to make informed decisions			
COut 2	The learner is able to understand the various aspects of Financial Research			
COut 3	The learner understands the difference between Financial Market Efficiency and Anomalies			
COut 4	The learner understands the role of behavioral finance in Research analysis			
COut 5	The learners learn to use statistical tools in PowerPoint presentations,			
COut 6	The learner is able to understand the practical applications.			
COut 7	Learners are able to demonstrate Practical application of preparing flyers and leaflets help the learners demonstrate their creativity.			
COut 8	Learners demonstrate Listening, Comprehension, Speaking Skills. Nonverbal communication skills of learners are enhanced.			
COut 9	The learner is able to understand data analysis techniques			
COut 10	Learners are able to analyse texts from the fields of Finance and Management			

The learner would need to submit a project based on Internship and a project based on aResearch topic for semester III and IV. The learner submitting the project work for internship could do the same in semester III and the project work based on a topic

could be submitted in semester IV or vice versa.

The learner could select the order of submission for semester III and IV.

The submissions would be subjected to plagiarism and the report of the same to beattached at the end of the project

The learner will be required to fulfil the following requirements to beable to submit the project work based on Internship: Minimum 30 working days/150 hours of internship with an Organisation/ NGO

Details of the Organisation/ NGO:

- i) Why it was selected-objective/s
- ii) Work Culture
- iii) Type of the Organisation
- iv) Organisation Structure
- v) Types of product & Service offered by the Organisation
- Learning Objectives

• Work done by the student

Department, product/s handled, Department visited & his/her observation about thosedepartment.

Learner's experience and whether he/she feels that the objective/s have beenachieved.

Note: for internship

Experience certificate/ Internship completion certificate to be attached

Evaluation:

Viva Voce	Marks
External guide	30
Internal guide	20
Total	50

A Power Point presentation should be prepared showcasing the highlights of theproject.

The learner will be required to submit a Research topic-basedProject following the below mentioned guidelines:

- Introduction:
- Why the Organisation was selected, objective of study, scope and limitations
- Literature Overview
- Research Methodology:
- i) Primary-Sample 40-50 respondents
- ii) Secondary
- iii) Hypothesis
- iv) Questionnaire
- v) Statistical tools & Techniques
- Classification & Tabulation of Data
- Analysis & Interpretation of Data
- Conclusions & RecommendationsEvaluation:

Viva Voce	Marks
External guide	30
Internal guide	20
Total	50

A Power Point presentation should be prepared showcasing the highlights of theproject.